MTS-520US2

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/029,425

Applicant: Filed:

M. Oshima et al. December 7, 2001

Title:

MARK FORMING APPARATUS, METHOD OF FORMING LASER

MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL

DISK AND METHOD OF PRODUCING OPTICAL DISK

TC/A.U.:

2134 8994

Confirmation: Examiner:

P. E. Callahan

RECEIVED

MAY 2 3 2003

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**Technology Center 2100** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese reference is not in the English language:

04-338872 1)

Reference 1) includes an English language abstract.

07/02/2003 VJONES2 01 FC:1806

00000007 10020425 More than three months have elapsed since the filing of the abovereferenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that

none has yet been mailed. Accordingly, the required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

The enclosed European Office Action also cites NL-A-9101358, which was already cited in the original prosecution.

## **STATEMENT**

The undersigned hereby states that Reference EP 0549488 disclosed in this Supplemental Information Disclosure Statement was first cited in any communication (i.e., European Office Action) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement

Respectiff bmitted,

Allah Ratner, Reg. No. 19,717 Attorney for Applicants

AR/fp

**Enclosures:** 

PTO Form 1449

Copies of (#9) References Copy of European Office Action

Check

Dated:

May 23, 2003

P.O. Box 980

Valley Forge, PA 19482-0980

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PTO/SB/21 (AW)(03-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## 10/020,425 Application Number **TRANSMITTAL** December 7, 2001 Filing Date **FORM** M. Oshima et al. First Named Inventor (to be used for all correspondence after initial filing) Art Unit 2134 2 3 2003 P. E. Callahan Examiner Name Total Number of Pages in This Submission 126 Attorney Docket No. MTS-520US2 **Technology Center 2109**

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ENCLOSURES (Check all that apply)				
Fee Transmittal Form Fee Attached		ring(s) nsing-related Papers		After Allowance Communication to Group
Amendment/Reply After Final Affidavits/Declaration(s)		on to Convert to a isional Application		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply
Extension of Time Request		er of Attorney, Revocation, age of Correspondence		Brief) Proprietary Information
Express Abandonment Request		ninal Disclaimer		Status Letter
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	Requ	uest for Refund		Other Enclosure(s) (please identify below):
Certified Copy of Priority Document(s)	CD, I	Number of CD(s)		Form 1449, European Office n and 9 references
Response to Missing Parts/ Incomplete Application	<u>Remarks</u> :			
Response to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT				
Firm or Individual Allan Ratner				
Signature				
Date May 23, 2003 1/W				
CERTIFICATE OF TRANSMISSION / MAILING				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:				
Name (Print/Type)		Registration No. (Attorney/A	gent)	
Signature			Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.